

JAMIESON LOGAN GRABENHORST
HORST & GRABEN WEALTH MANAGEMENT, LLC

3 Centerpointe Drive
Suite 410
Lake Oswego, Oregon 97035

Phone: (503) 342-8900

<https://www.horstandgraben.com/>

March 24, 2023

This Brochure Supplement provides information about Jamieson L. Grabenhorst that supplements the Horst & Graben Wealth Management, LLC Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Casey Cleveland at (503) 342-8900 or casey@horstandgraben.com if you did not receive a copy of Horst & Graben Wealth Management, LLC Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Jamieson L. Grabenhorst is available on the SEC's website at www.adviserinfo.sec.gov.

JAMIESON L. GRABENHORST

Year of Birth: 1978

Item 2 – Educational Background and Business Experience

Education

Bachelor of Arts, Washington State University, Pullman, WA, 2001

Business Background

07/2018 to Present Investment Advisor Representative
Horst & Graben Wealth Management, LLC

01/2001 to 12/2019 Registered Representative and Investment Advisor Representative
Royal Alliance Associates

08/2006 to 09/2018 Investment Advisor Representative
CGC Financial Services, LLC

Item 3 – Disciplinary Information

Jamieson Grabenhorst has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client's evaluation of his or any of the services Horst & Graben provides.

Item 4 – Other Business Activities

Mr. Grabenhorst is not involved in any other investment related business activity other than through Horst & Graben Wealth Management, LLC.

Item 5 – Additional Compensation

Mr. Grabenhorst does not receive any additional compensation or economic benefit from third parties for providing advisory services to clients of Horst & Graben Wealth Management, LLC.

Item 6 – Supervision

Casey Cleveland, Chief Compliance Officer, is responsible for supervising the services and advice provided to Clients of Horst & Graben Wealth Management, LLC. Mr. Grabenhorst prepares investment policies, forms and procedures for those Clients to whom he is the primary advisor representative and firm contact. Mr. Cleveland can be reached at (503) 342-8900.

CASEY E. CLEVELAND

HORST & GRABEN WEALTH MANAGEMENT, LLC

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Suite 410
Lake Oswego, Oregon 97035

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This Brochure Supplement provides information about Casey E. Cleveland that supplements the Horst & Graben Wealth Management, LLC Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Casey Cleveland at (503) 342-8900 or casey@horstandgraben.com if you did not receive a copy of Horst & Graben Wealth Management, LLC Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Casey E. Cleveland is available on the SEC's website at www.adviserinfo.sec.gov.

CASEY E. CLEVELAND, CFP®, ChFC®, CPFA

Year of Birth: 1974

Item 2 – Educational Background and Business Experience

Education

Bachelor of Arts, Gonzaga University, Spokane, WA, 1996

Certified Financial Planner, 2002

Chartered Financial Consultant, 2009

Certified Plan Fiduciary Advisor, 2018

Business Background

07/2018 to Present Investment Advisor Representative
Horst & Graben Wealth Management, LLC

10/2002 to 12/2019 Registered Representative and Investment Advisor Representative
Royal Alliance Associates

08/2006 to 09/2018 Investment Advisor Representative
CGC Financial Services, LLC

06/1996 to 08/2002 Analyst, McGee Financial Group

CERTIFIED FINANCIAL PLANNER™ practitioner, CFP® and federally registered CFP (with a flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (CFP Board).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with Clients.

Mr. Cleveland completed the requirements that were in effect at the time of his certification. Currently, to attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined are necessary for the competent and professional delivery of financial planning services, and attain a bachelor’s degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and Client scenarios designed to test one’s ability to correctly diagnose

financial planning issues and apply one's knowledge of financial planning to real world circumstances;

- Experience – (1) Complete at least three years (at least 6,000 hours) of full-time financial planning-related experience or (2) complete at least two years (at least 4,000) of full-time financial planning-related experience while under the supervision of a CFP® professional; and
- Ethics – Agree to be bound by the CFP Board's *Code of Ethics and Standards of Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to maintain the right to continue to use the CFP® marks:

Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- Ethics – Renew an agreement to be bound by the *Code of Ethics and Standards of Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their Clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Chartered Financial Consultant® (ChFC®): This designation prepares you to meet the advanced financial planning needs of individuals, professionals, and small business owners. Holders of the designation have in-depth coverage of key financial planning disciplines such as insurance, income taxation, retirement planning, investments and estate planning.

The ChFC® designation is voluntary; no federal or state law or regulation requires investment advisors or financial planners to hold ChFC® designation. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

The certification is administered through The American College. The American College is accredited by the Middle States Association Commission on Higher Education. To attain the right to use the ChFC® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that the American College has determined are necessary for the competent and professional delivery of financial planning services. The required course of study includes insurance and financial strategies, income taxation, financial planning applications, planning for retirement needs, investments and estate planning, in addition to a selection of electives.

- Examination – ChFC[®] (Chartered Financial Consultant[®]) individuals complete nine courses, seven required and two elective. Six of the required courses mirror the fundamental education needed to sit for CFP Board's comprehensive exam. Once the first six courses are completed successfully (two-thirds of the ChFC[®] curriculum), individuals are eligible to test for their CFP[®] certification. Rigorous exams are completed after each course, but there is no final comprehensive examination. There are ethics, experience, and continuing education requirements for this designation, as well as an academic rule that requires completion within five years.
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by the American College *Code of Ethics and Procedures*, a set of documents outlining the ethical and practice standards for ChFC[®] professionals.

Individuals who become certified must complete ongoing education and ethics requirements to maintain the right to continue to use the ChFC[®] marks.

Certified Plan Fiduciary Advisor, CPFA. The Certified Plan Fiduciary Advisor certification is issued by the National Association of Plan Advisors. The CPFA designation demonstrates knowledge, expertise, and commitment to working with retirement plans, as well as the expertise required to act as a plan fiduciary or help plan fiduciaries manage their roles and responsibilities. Education requirements include: the completion of the Certified Plan Fiduciary Advisor coursework, a final examination, and continuing education requirements of 20 credits every two years.

Item 3 – Disciplinary Information

Casey Cleveland has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client's evaluation of his or any of the services Horst & Graben Wealth Management, LLC provides.

Item 4 – Other Business Activities

Mr. Cleveland is licensed to sell insurance products through various insurance companies. Casey Cleveland endeavors at all times to put the interests of the Client first as part of Horst & Graben's fiduciary duty. However, clients should be aware that the receipt of additional compensation gives Mr. Cleveland an incentive to recommend insurance products based on the compensation received, rather than on the client's needs, and may affect the judgment of Mr. Cleveland when making recommendations. Mr. Cleveland will only transact insurance related business with Clients when the products are fully disclosed, suitable, and appropriate to fit their needs.

Item 5 – Additional Compensation

As mentioned in Item 4 above, Mr. Cleveland receives commissions based on the sales of insurance products.

Item 6 – Supervision

Casey Cleveland, Chief Compliance Officer, is responsible for supervising the services and advice provided to Clients of Horst & Graben Wealth Management, LLC. He prepares investment policies, forms and procedures for those Clients to whom he is the primary advisor representative and firm contact. Mr. Cleveland can be reached at (503) 342-8900.

MEGAN M. RICHARDSON
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This Brochure Supplement provides information about Megan M. Richardson that supplements the Horst & Graben Wealth Management, LLC Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Casey Cleveland at (503) 342-8900 or casey@horstandgraben.com if you did not receive a copy of Horst & Graben Wealth Management, LLC Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Ms. Richardson is available on the SEC's website at www.adviserinfo.sec.gov.

MEGAN M. RICHARDSON

Year of Birth: 1992

Item 2 – Educational Background and Business Experience

Education

Bachelor of Arts - Economics, University of Portland, 2015

Business Background

07/2018 to Present Investment Advisor Representative
Horst & Graben Wealth Management, LLC

04/2016 to 12/2019 Registered Representative
09/2015 to 04/2016 Non-Registered Assistant
Royal Alliance Associates, Inc.

02/2018 to 9/2018 Investment Advisor Representative
09/2015 to 02/2018 Assistant
CGC Financial Services, LLC

Item 3 – Disciplinary Information

Ms. Richardson has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client's evaluation of her or any of the services Horst & Graben Wealth Management, LLC provides.

Item 4 – Other Business Activities

Ms. Richardson is not involved in any other investment related business activity other than through Horst & Graben Wealth Management, LLC.

Item 5 – Additional Compensation

Ms. Richardson does not receive any additional compensation or economic benefit from third parties for providing advisory services to clients of Horst & Graben Wealth Management, LLC.

Item 6 – Supervision

Casey Cleveland, Chief Compliance Officer, is responsible for supervising the services and advice provided to Clients of Horst & Graben Wealth Management, LLC. Ms. Richardson prepares investment policies, forms and procedures for those Clients to whom she is the primary advisor representative and firm contact. Mr. Cleveland can be reached at (503) 342-8900.

EDWARD E. TRUAX

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This Brochure Supplement provides information about Edward E. Truax that supplements the Horst & Graben Wealth Management, LLC Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Casey Cleveland at (503) 342-8900 or casey@horstandgraben.com if you did not receive a copy of Horst & Graben Wealth Management, LLC Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Mr. Truax is available on the SEC's website at www.adviserinfo.sec.gov.

EDWARD E. TRUAX, ChFC®

Year of Birth: 1951

Item 2 – Educational Background and Business Experience

Education

Bachelor of Science, Oregon State University, 1973

Chartered Financial Consultant, 1995

Chartered Life Underwriter, 1998

Business Background

12/2019 to Present Investment Advisor Representative
 Horst & Graben Wealth Management, LLC

01/2001 to 12/2019 Registered Representative
 Royal Alliance Associates, Inc.

Chartered Financial Consultant® (ChFC®): This designation prepares you to meet the advanced financial planning needs of individuals, professionals, and small business owners. Holders of the designation have in-depth coverage of key financial planning disciplines such as insurance, income taxation, retirement planning, investments and estate planning.

The ChFC® designation is voluntary; no federal or state law or regulation requires investment advisors or financial planners to hold ChFC® designation. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

The certification is administered through The American College. The American College is accredited by the Middle States Association Commission on Higher Education. To attain the right to use the ChFC® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that the American College has determined are necessary for the competent and professional delivery of financial planning services. The required course of study includes insurance and financial strategies, income taxation, financial planning applications, planning for retirement needs, investments and estate planning, in addition to a selection of electives.
- Examination – ChFC® (Chartered Financial Consultant®) individuals complete nine courses, seven required and two elective. Six of the required courses mirror the fundamental education needed to sit for CFP Board's comprehensive exam. Once the first six courses are completed successfully (two-thirds of the ChFC® curriculum), individuals are eligible to test for their CFP® certification. Rigorous exams are completed after each course, but there is no final comprehensive examination. There are ethics, experience, and continuing education requirements for this designation, as well as an academic rule that requires completion within five years.

- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by the American College *Code of Ethics and Procedures*, a set of documents outlining the ethical and practice standards for ChFC® professionals.

Individuals who become certified must complete ongoing education and ethics requirements to maintain the right to continue to use the ChFC® marks.

Chartered Life Underwriter (CLU®): This designation is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take a series of mandatory courses which include, for example, the following: insurance planning, life insurance law, fundamentals of estate planning, planning for business owners, income taxation, group benefits, planning for retirement needs, and investments. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years. The certification is administered through The American College. The American College is accredited by the Middle States Association Commission on Higher Education.

Item 3 – Disciplinary Information

Mr. Truax has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client's evaluation of her or any of the services Horst & Graben Wealth Management, LLC provides.

Item 4 – Other Business Activities

Mr. Truax is licensed to sell insurance products through various insurance companies. Mr. Truax endeavors at all times to put the interests of the Client first as part of Horst & Graben's fiduciary duty. However, clients should be aware that the receipt of additional compensation gives Mr. Truax an incentive to recommend insurance products based on the compensation received, rather than on the client's needs, and may affect the judgment of Mr. Truax when making recommendations. Mr. Truax will only transact insurance related business with Clients when the products are fully disclosed, suitable, and appropriate to fit their needs.

Mr. Truax serves as an affiliate expert with the Bates Group LLC in Lake Oswego, OR. In this role, Mr. Truax provides expert assistance on a variety of insurance-related matters. In order to avoid any conflict of interest, Mr. Truax will not serve in any capacity for cases involving any Horst & Graben clients. This outside business activity does not involve a substantial amount of Mr. Truax's income or time.

Item 5 – Additional Compensation

As mentioned in Item 4 above, Mr. Truax receives commissions based on the sales of insurance products.

Item 6 – Supervision

Casey Cleveland, Chief Compliance Officer, is responsible for supervising the services and advice provided to Clients of Horst & Graben Wealth Management, LLC. Mr. Truax prepares investment policies, forms and procedures for those Clients to whom she is the primary advisor representative and firm contact. Mr. Cleveland can be reached at (503) 342-8900.

PATRICK A. YAGHOBIANS

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This Brochure Supplement provides information about Patrick A. Yaghoobians that supplements the Horst & Graben Wealth Management, LLC Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Casey Cleveland at (503) 342-8900 or casey@horstandgraben.com if you did not receive a copy of Horst & Graben Wealth Management, LLC Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Patrick A. Yaghoobians is available on the SEC's website at www.adviserinfo.sec.gov.

PATRICK A. YAGHOBIANS, CFP®

Year of Birth: 1996

Item 2 – Educational Background and Business Experience

Education

Bachelor of Science, Finance, Option in Financial Planning
California State University Northridge, 2018
Certified Financial Planner, 2021

Business Background

03/2021 to Present Investment Advisor Representative
Horst & Graben Wealth Management, LLC

08/2018 to 02/2021 Wealth Management Associate
Morgan Stanley

01/2018 to 04/2018 Financial Advisor Assistant
Elite Financial Partners

08/2017 to 01/2018 Administrative Assistant
Waddell & Reed

CERTIFIED FINANCIAL PLANNER™ practitioner, CFP® and federally registered CFP (with a flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (CFP Board).

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- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and Client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;

- Experience – (1) Complete at least three years (at least 6,000 hours) of full-time financial planning-related experience or (2) complete at least two years (at least 4,000 hours) of full-time financial planning-related experience while under the supervision of a CFP® professional; and
- Ethics – Agree to be bound by the CFP Board’s *Code of Ethics and Standards of Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

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- Ethics – Renew an agreement to be bound by the *Code of Ethics and Standards of Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their Clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 – Disciplinary Information

Patrick A. Yaghoobians has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client’s evaluation of his or any of the services Horst & Graben provides.

Item 4 – Other Business Activities

Mr. Yaghoobians is not involved in any other investment related business activity other than through Horst & Graben Wealth Management, LLC.

Item 5 – Additional Compensation

Mr. Yaghoobians does not receive any additional compensation or economic benefit from third parties for providing advisory services to clients of Horst & Graben Wealth Management, LLC.

Item 6 – Supervision

Casey Cleveland, Chief Compliance Officer, is responsible for supervising the services and advice provided to Clients of Horst & Graben Wealth Management, LLC. Mr. Yaghoobians prepares investment policies, forms and procedures for those Clients to whom he is the primary advisor representative and firm contact. Mr. Cleveland can be reached at (503) 342-8900.